

Section

PATENT

Attorney Docket No.: GENE1120-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nolan et al.

Application No.: 09/342,024

Filed: June 28, 1999

For: HIGH EFFICIENCY TRANSFECTION
BASED ON LOW ELECTRIC FIELD
STRENGTH, LONG PULSE LENGTH

) Group Art Unit: 3762

)

) Examiner: Unassigned

)

) CERTIFICATION UNDER 37 CFR § 1.8

)

) I hereby certify that the documents referred to as enclosed herein
) are being deposited with the United States Postal Service as first
) class mail on this date **January 26, 2000**, in an envelope
) addressed to: Assistant Commissioner for Patents, Washington,
) D.C. 20231

)

) Elizabeth King
) (Name of person mailing paper)

)

) [Signature]
) Signature

Box MISSING PARTS

Assistant Commissioner of Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed July 27, 1999,
enclosed are:

1. A copy of the Notice to File Missing Parts of Application;
2. An executed Declaration for Patent Application;
3. A Power of Attorney by Assignee;
4. A Verified Statement (Declaration) Claiming Small Entity Status;
5. A Recordation Form Cover Sheet and executed Assignment;
6. Petition for Extension of Time (3 months);
7. Petition to Accept Filing of Declaration Under 37 C.F.R. § 1.47; and
8. Declaration of Facts with Exhibits A – E.

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The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	29-20	-	9	X	\$09	\$18	=	\$81.00	\$.00
Independent Claims	2-3	-	0	X	\$39	\$78	=	\$.00	\$.00
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$130	\$260			0
					BASIC FEE			\$345.00	\$690.00
					TOTAL FEE			\$426.00	\$

The Commissioner is hereby authorized to charge payment of the TOTAL FEE of \$966.00 which includes \$65.00 surcharge fee for missing parts of the application, \$40.00 recordation fee for one (1) assignment, \$435.00 for three (3) months Extension of Time, \$130.00 for the Petition to Accept Filing of Declaration, and any associated fees as noted below, or credit any overpayment, to Deposit Account No. 07-1895. A copy of the Transmittal Sheet is enclosed.

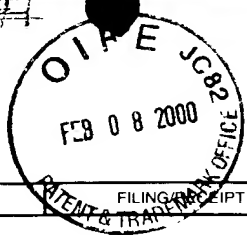
Any additional filing fees required under 37 C.F.R. 1.16.
Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Date: 1/26/00

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GRAY CARY WARE & FREIDENRICH LLP
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San Diego, California 92121-2189



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). **If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.**

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ **small entity (statement filed) ☒ non-small entity is \$** 1052-

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760- to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 162- for 9 total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

[Signature]
Customer Service Center/
Initial Patent Examination Division (703) 308-1202

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VE 10.0000 550.00 LB
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